Chapter 1

Sociology and the Sociological Perspective

Residents of the United States live in a free country. Unlike people living in many other nations in the world, we generally have the right to think and do what we want—that is, as long as we do not hurt anyone else. We can choose to go to college or not to go; we can be conservative or be liberal; we can believe in a higher deity or not hold this belief; and we can decide to have a romantic relationship with whoever will have us or not to have such a relationship. We make up our own minds on such issues as abortion, affirmative action, the death penalty, gun control, health care, and taxes. We are individuals, and no one has the right to tell us what to do (as long as our actions are legal) or how to think.
1.1 The Sociological Perspective

**LEARNING OBJECTIVES**

1. Define the sociological perspective.
2. Provide examples of how Americans may not be as “free” as they think.
3. Explain what is meant by considering individuals as “social beings.”

Most Americans probably agree that we enjoy a great amount of freedom. And yet perhaps we have less freedom than we think, because many of our choices are influenced by our society in ways we do not even realize. Perhaps we are not as distinctively individualistic as we believe we are.

For example, consider the right to vote. The secret ballot is one of the most cherished principles of American democracy. We vote in secret so that our choice of a candidate is made freely and without fear of punishment. That is all true, but it is also possible to guess the candidate for whom any one individual will vote if enough is known about the individual. This is because our choice of a candidate is affected by many aspects of our social backgrounds and, in this sense, is not made as freely as we might think.

To illustrate this point, consider the 2008 presidential election between Democrat Barack Obama and Republican John McCain. Suppose a room is filled with 100 randomly selected voters from that election. Nothing is known about them except that they were between 18 and 24 years of age when they voted. Because exit poll data found that Obama won 66% of the vote from people in this age group ([http://abcnews.go.com/PollingUnit/ExitPolls](http://abcnews.go.com/PollingUnit/ExitPolls)), a prediction that each of these 100 individuals voted for Obama would be correct about 66 times and incorrect only 34 times. Someone betting $1 on each prediction would come out $32 ahead ($66 – $34 = $32), even though the only thing known about the people in the room is their age.

Now let’s suppose we have a room filled with 100 randomly selected white men from Wyoming who voted in 2008. We know only three things about them: their race, gender, and state of residence. Because exit poll data found that 67% of white men in Wyoming voted for McCain, a prediction can be made with fairly good accuracy that these 100 men tended to have voted for McCain. Someone betting $1 that each man in the room
voted for McCain would be right about 67 times and
wrong only 33 times and would come out $34 ahead ($67
– $33 = $34). Even though young people in the United
States and white men from Wyoming had every right
and freedom under our democracy to vote for
whomever they wanted in 2008, they still tended to vote
for a particular candidate because of the influence of
their age (in the case of the young people) or of their
gender, race, and state of residence (white men from
Wyoming).

Yes, Americans have freedom, but our freedom to think
and act is constrained at least to some degree by
society’s standards and expectations and by the many
aspects of our social backgrounds. This is true for the
kinds of important beliefs and behaviors just discussed,
and it is also true for less important examples. For
instance, think back to the last class you attended. How
many of the women wore evening gowns? How many of
the men wore skirts? Students are “allowed” to dress any way they want in most
colleges and universities, but notice how few students, if any, dress in the way just
mentioned. They do not dress that way because of the strange looks and even
negative reactions they would receive.

Think back to the last time you rode in an elevator. Why did you not face the back?
Why did you not sit on the floor? Why did you not start singing? Children can do
these things and “get away with it,” because they look cute doing so, but adults risk
looking odd. Because of that, even though we are “allowed” to act strangely in an
elevator, we do not.

The basic point is that society shapes our attitudes and behavior even if it does not
determine them altogether. We still have freedom, but that freedom is limited by
society’s expectations. Moreover, our views and behavior depend to some degree on
our social location in society—our gender, race, social class, religion, and so forth.
Thus society as a whole and our own social backgrounds affect our attitudes and
behaviors. Our social backgrounds also affect one other important part of our lives,
and that is our life chances\(^1\)—our chances (whether we have a good chance or little
chance) of being healthy, wealthy, and well educated and, more generally, of living
a good, happy life.

The influence of our social environment\(^2\) in all of these respects is the
fundamental understanding that sociology\(^3\)—the scientific study of social behavior
and social institutions—aims to present. At the heart of sociology is the sociological perspective, the view that our social backgrounds influence our attitudes, behavior, and life chances. In this regard, we are not just individuals but rather social beings deeply enmeshed in society. Although we all differ from one another in many respects, we share with many other people basic aspects of our social backgrounds, perhaps especially gender, race and ethnicity, and social class. These shared qualities make us more similar to each other than we would otherwise be.

Does society totally determine our beliefs, behavior, and life chances? No. Individual differences still matter, and disciplines such as psychology are certainly needed for the most complete understanding of human action and beliefs. But if individual differences matter, so do society and the social backgrounds from which we come. Even the most individual attitudes and behaviors, such as the voting decisions discussed earlier, are influenced to some degree by our social backgrounds and, more generally, by the society to which we belong.

In this regard, consider what is perhaps the most personal decision one could make: the decision to take one’s own life. What could be more personal and individualistic than this fatal decision? When individuals commit suicide, we usually assume that they were very unhappy, even depressed. They may have been troubled by a crumbling romantic relationship, bleak job prospects, incurable illness, or chronic pain. But not all people in these circumstances commit suicide; in fact, few do. Perhaps one’s chances of committing suicide depend at least in part on various aspects of the person’s social background.

In this regard, consider suicide rates—the percentage of a particular group of people who commit suicide, usually taken as, say, eight suicides for every 100,000 people in that group. Different groups have different suicide rates. As just one example, men are more likely than women to commit suicide. Why is this? Are men more depressed than women? No, the best evidence indicates that women are more depressed than men. The social costs of stress: How sex differences in stress responses can lead to social stress vulnerability and depression in women. In C. L. M. Keyes & S. H. Goodman (Eds.), Women and depression: A handbook for the social, behavioral, and biomedical sciences (pp. 199–218). New York, NY: Cambridge University Press. and that women try to commit suicide more often than men (Centers for Disease Control and Prevention, 2008). Centers for Disease Control and Prevention. (2008). Suicide: Facts at a glance. Retrieved from http://www.cdc.gov/ViolencePrevention/pdf/Suicide-DataSheet-a.pdf If so, there must be something about being a man that makes it more likely that males’ suicide attempts will result in death. One of these “somethings” is that males are more likely than females to try to commit suicide with a firearm, a far more lethal method than, say, taking an overdose of sleeping

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4. The belief that people’s social backgrounds influence their attitudes, behaviors, and life chances.

5. A group of people who live within a defined territory and who share a culture.
pills (Miller & Hemenway, 2008). Miller, M., & Hemenway, D. (2008). Guns and suicide in the United States. *New England Journal of Medicine, 359*, 989–991. If this is true, then it is fair to say that gender influences our chances of committing suicide, even if suicide is perhaps the most personal of all acts.

In the United States, suicide rates are generally higher west of the Mississippi River than east of it (*Figure 1.2 "U.S. Suicide Rates, 2000–2006 (Number of Suicides per 100,000 Population)†*). Is that because people out west are more depressed than those back east? No, there is no evidence of this. Perhaps there is something else about the western states that helps lead to higher suicide rates. For example, many of these states are sparsely populated compared to their eastern counterparts, with people in the western states living relatively far from one another. Because we know that social support networks help people deal with personal problems and deter possible suicides (Stack, 2000), Stack, S. (2000). Sociological research into suicide. In D. Lester (Ed.), *Suicide prevention: Resources for the millennium* (pp. 17–30). New York, NY: Routledge. perhaps these networks are weaker in the western states, helping lead to higher suicide rates. Then too, membership in organized religion is lower out west than back east (Finke & Stark, 2005). Finke, R., & Stark, S. (2005). *The churching of America: Winners and losers in our religious economy* (2nd ed.). New Brunswick, NJ: Rutgers University Press. Because religious beliefs help us deal with personal problems, perhaps suicide rates are higher out west in part because religious belief is weaker. Thus a depressed person out west is, all other things being equal, at least a little more likely than a depressed person back east to commit suicide.

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**Figure 1.1 Gender and Suicide Rate, 2006**

Although suicide is popularly considered to be a very individualistic act, it is also true that individuals’ likelihood of committing suicide depends at least partly on various aspects of their social backgrounds.
KEY TAKEAWAYS

• According to the sociological perspective, social backgrounds influence attitudes, behavior, and life chances.
• Social backgrounds influence but do not totally determine attitudes and behavior.
• Americans may be less “free” in their thoughts and behavior than they normally think they are.

FOR YOUR REVIEW

1. Do you think that society constrains our thoughts and behaviors as the text argues? Why or why not?
2. Describe how one aspect of your own social background has affected an important attitude you hold, a behavior in which you have engaged, or your ability to do well in life (life chances).
1.2 Understanding Society

**LEARNING OBJECTIVES**

1. Explain the debunking motif.
2. Define the sociological imagination.
3. Explain what is meant by the blaming-the-victim ideology.

We have just seen that sociology regards individuals as social beings influenced in many ways by their social environment and perhaps less free to behave and think than Americans ordinarily assume. If this insight suggests to you that sociology might have some other surprising things to say about the social world, you are certainly correct. Max Weber (1864–1920), a founder of sociology, wrote long ago that a major goal of sociology was to reveal and explain “inconvenient facts” (Gerth & Mills, 1946, p. 147).

Gerth, H., & Mills, C. W. (Eds.). (1946). *From Max Weber: Essays in sociology*. New York, NY: Oxford University Press. These facts include the profound influence of society on the individual and also, as we shall see throughout this book, the existence and extent of social inequality.

In line with Weber’s observation, as sociologists use the sociological perspective in their theory and research, they often challenge conventional understandings of how society works and of controversial social issues. This emphasis is referred to as the *debunking motif*, to which we now turn.

**The Debunking Motif**

As Peter L. Berger (1963, pp. 23–24) noted in his classic book *Invitation to Sociology*, “The first wisdom of sociology is this—things are not what they seem.” Social reality, he said, has “many layers of meaning,” and a goal of sociology is to help us discover these multiple meanings. He continued, “People who like to avoid shocking discoveries...should stay away from sociology.”

As Berger was emphasizing, sociology helps us see through conventional understandings of how society works. He referred to this theme of sociology as the *debunking motif*. By “looking for levels of reality other than those given in the official interpretations of society” (p. 38), Berger, P. L. (1963). *Invitation to sociology: A humanistic perspective*. Garden City, NY: Anchor Books. Berger said, sociology looks beyond on-the-surface understandings of social reality and helps us recognize the
value of alternative understandings. In this manner, sociology often challenges conventional understandings about social reality and social institutions.

For example, suppose two people meet at a college dance. They are interested in getting to know each other. What would be an on-the-surface understanding and description of their interaction over the next few minutes? What do they say? If they are like a typical couple who just met, they will ask questions like, What’s your name? Where are you from? What dorm do you live in? What’s your major? Now, such a description of their interaction is OK as far as it goes, but what is really going on here? Does either of the two people really care that much about the other person’s answers to these questions? Isn’t each one more concerned about how the other person is responding, both verbally and nonverbally, during this brief interaction? For example, is the other person paying attention and smiling? Isn’t this kind of understanding a more complete analysis of these few minutes of interaction than an understanding based solely on the answers to questions like, What’s your major? For the most complete understanding of this brief encounter, then, we must look beyond the rather superficial things the two people are telling each other to uncover the true meaning of what is going on.

As another example, consider the power structure in a city or state. To know who has the power to make decisions, we would probably consult a city or state charter or constitution that spells out the powers of the branches of government. This written document would indicate who makes decisions and has power, but what would it not talk about? To put it another way, who or what else has power to influence the decisions elected officials make? Big corporations? Labor unions? The media? Lobbying groups representing all sorts of interests? The city or state charter or constitution may indicate who has the power to make decisions, but this understanding would be limited unless one looks beyond these written documents to get a deeper, more complete understanding of how power really operates in the setting being studied.

Social Structure and the Sociological Imagination

One way sociology achieves a more complete understanding of social reality is through its focus on the importance of the social forces affecting our behavior, attitudes, and life chances. This focus involves an emphasis on social structure, the social patterns through which society is organized. Social structure can be both horizontal or vertical. Horizontal social structure refers to the social relationships and the social and physical characteristics of communities to which individuals belong. Some people belong to many networks of social relationships, including groups like the PTA and the Boy or Girl Scouts, while other people have fewer such networks. Some people grew up on streets where the houses were crowded together, while other people grew up in areas where the homes were much

7. The social patterns through which society is organized.
8. The social relationships and social and physical characteristics of communities to which individuals belong.
farther apart. These are examples of the sorts of factors constituting the horizontal social structure that forms such an important part of our social environment and backgrounds.

The other dimension of social structure is vertical. **Vertical social structure**, more commonly called **social inequality**, refers to ways in which a society or group ranks people in a hierarchy, with some more “equal” than others. In the United States and most other industrial societies, such things as wealth, power, race and ethnicity, and gender help determine one’s social ranking, or position, in the vertical social structure. Some people are at the top of society, while many more are in the middle or at the bottom. People’s positions in society’s hierarchy in turn often have profound consequences for their attitudes, behaviors, and life chances, both for themselves and for their children.

In recognizing the importance of social structure, sociology stresses that individual problems are often rooted in problems stemming from the horizontal and vertical social structures of society. This key insight informed C. Wright Mills’s (1959) classic distinction between **personal troubles** and **public issues**. **Personal troubles** refer to a problem affecting individuals that the affected individual, as well as other members of society, typically blame on the individual’s own failings. Examples include such different problems as eating disorders, divorce, and unemployment. **Public issues**, whose source lies in the social structure and culture of a society, refer to social problems affecting many individuals. Thus problems in society help account for problems that individuals experience. Mills felt that many problems ordinarily considered private troubles are best understood as public issues, and he coined the term **sociological imagination** to refer to the ability to appreciate the structural basis for individual problems.

To illustrate Mills’s viewpoint, let’s use our sociological imaginations to understand some important contemporary social problems. We will start with unemployment, which Mills himself discussed. If only a few people were unemployed, Mills wrote, we could reasonably explain their unemployment by saying they were lazy, lacked good work habits, and so forth. If so, their unemployment would be their own personal trouble. But when millions of people are out of work, unemployment is best understood as a public issue because, as Mills (1959, p. 9) put it, “the very structure of opportunities has collapsed. Both the correct statement of the problem and the range of possible solutions require us to consider the economic and political institutions of the society, and not merely the personal situation and character of a scatter of individuals.”
Although eating disorders often stem from personal problems, they also may reflect a cultural emphasis for women to have slender bodies.

The growing unemployment rate stemming from the severe economic downturn that began in 2008 provides a telling example of the point Mills was making. Millions of people lost their jobs through no fault of their own. While some individuals are undoubtedly unemployed because they are lazy or lack good work habits, a more structural explanation focusing on lack of opportunity is needed to explain why so many people were out of work as this book went to press. If so, unemployment is best understood as a public issue rather than a personal trouble.

Another contemporary problem is crime, which we explore further in Chapter 7 "Deviance, Crime, and Social Control". If crime were only a personal trouble, then we could blame crime on the moral failings of individuals, and some explanations of crime do precisely this. But such an approach ignores the fact that crime is a public issue, because structural factors such as inequality and the physical characteristics of communities contribute to high crime rates among certain groups in American society. As an illustration, consider identical twins separated at birth. One twin grows up in a wealthy suburb or rural area, while the other twin grows up in a blighted neighborhood in a poor, urban area. Twenty years later, which twin will be more likely to have a criminal record? You probably answered the twin growing up in the poor, rundown urban neighborhood. If so, you recognize that there is something about growing up in that type of neighborhood that increases the chances of a person becoming prone to crime. That “something” is the structural factors just mentioned. Criminal behavior is a public issue, not just a personal trouble.

A third problem is eating disorders. We usually consider a person’s eating disorder to be a personal trouble that stems from a lack of control, low self-esteem, or another personal problem. This explanation may be OK as far as it goes, but it does not help us understand why so many people have the personal problems that lead to eating disorders. Perhaps more important, this belief also neglects the larger social and cultural forces that help explain such disorders. For example, most Americans with eating disorders are women, not men. This gender difference forces us to ask what it is about being a woman in American society that makes eating disorders so much more common. To begin to answer this question, we need to look to the standard of beauty for women that emphasizes a slender body (Whitehead & Kurz, 2008). Whitehead, K., & Kurz, T. (2008). Saints, sinners and standards of femininity: Discursive constructions of anorexia nervosa and obesity in women’s magazines. Journal of Gender Studies, 17, 345–358. If this cultural standard did not exist, far fewer American
women would suffer from eating disorders than do now. Even if every girl and woman with an eating disorder were cured, others would take their places unless we could somehow change the cultural standard of female slenderness. To the extent this explanation makes sense, eating disorders are best understood as a public issue, not just as a personal trouble.

Picking up on Mills’s insights, William Ryan (1976) pointed out that Americans typically think that social problems such as poverty and unemployment stem from personal failings of the people experiencing these problems, not from structural problems in the larger society. Using Mills’s terms, Americans tend to think of social problems as personal troubles rather than public issues. As Ryan put it, they tend to believe in blaming the victim rather than blaming the system.

To help us understand a blaming-the-victim ideology, let’s consider why poor children in urban areas often learn very little in their schools. A blaming-the-victim approach, according to Ryan, would say that the children’s parents do not care about their learning, fail to teach them good study habits, and do not encourage them to take school seriously. This type of explanation may apply to some parents, in Ryan’s opinion, but it ignores a much more important reason: the sad shape of America’s urban schools, which are decrepit structures housing old textbooks and out-of-date equipment. To improve the schooling of children in urban areas, he wrote, we must improve the schools themselves, and not just try to “improve” the parents.

As this example suggests, a blaming-the-victim approach points to solutions to social problems such as poverty and illiteracy that are very different from those suggested by a more structural approach that “blames the system.” If we blame the victim, we would spend our limited dollars to address the personal failings of individuals who suffer from poverty, illiteracy, poor health, eating disorders, and other difficulties. If instead we blame the system, we would focus our attention on the various social conditions (decrepit schools, cultural standards of female beauty, and the like) that account for these difficulties. A sociological perspective suggests that the latter approach is ultimately needed to help us deal successfully with the social problems facing us today.

Sociology and Social Reform: Public Sociology

This book’s subtitle is “understanding and changing the social world.” The last several pages were devoted to the subtitle’s first part, understanding. Our discussion of Mills’s and Ryan’s perspectives in turn points to the implications of a sociological understanding for changing the social world. This understanding suggests the need...
to focus on the various aspects of the social environment that help explain both social issues and private troubles, to recall Mills’s terms.

The use of sociological knowledge to achieve social reform was a key theme of sociology as it developed in the United States after emerging at the University of Chicago in the 1890s (Calhoun, 2007). The early Chicago sociologists aimed to use their research to achieve social reform and, in particular, to reduce poverty and its related effects. They worked closely with Jane Addams (1860–1935), a renowned social worker who founded Hull House (a home for the poor in Chicago) in 1899 and won the Nobel Peace Prize in 1931. Addams gained much attention for her analyses of poverty and other social problems of the time, and her book *Twenty Years at Hull House* remains a moving account of her work with the poor and ill in Chicago (Deegan, 1990). About the same time, W. E. B. Du Bois (1868–1963), a sociologist and the first African American to obtain a PhD from Harvard University, wrote groundbreaking books and articles on race in American society and, more specifically, on the problems facing African Americans (Morris, 2007). One of these works was his 1899 book *The Philadelphia Negro: A Social Study*, which attributed the problems facing Philadelphia blacks to racial prejudice among whites. Du Bois also helped found the National Association for the Advancement of Colored People (NAACP). A contemporary of Du Bois was Ida B. Wells-Barnett (1862–1931), a former slave who became an activist for women’s rights and worked tirelessly to improve the conditions of African Americans. She wrote several studies of lynching and joined Du Bois in helping to found the NAACP (Bay, 2009). American sociology has never fully lost its early calling, but by the 1940s and 1950s many sociologists had developed a more scientific, professional orientation that disregarded social reform (Calhoun, 2007). A group of sociologists who felt that sociology had abandoned the discipline’s early social reform orientation formed a new national association, the Society for the Study of Social Problems (SSSP). SSSP’s primary aim today remains the use of sociological knowledge to achieve social justice (http://sssp1.org). During the 1960s, a new wave of young sociologists, influenced by the political events and social movements of that tumultuous period, took up the mantle of social reform and clashed with their older
colleagues. A healthy tension has existed since then between sociologists who see social reform as a major goal of their work and those who favor sociological knowledge for its own sake.

In 2004, the president of the American Sociological Association, Michael Burawoy, called for “public sociology,” or the use of sociological insights and findings to address social issues and achieve social change (Burawoy, 2005). Burawoy, M. (2005). 2004 presidential address: For public sociology. *American Sociological Review, 70*, 4–28. His call ignited much excitement and debate, as public sociology became the theme or prime topic of several national and regional sociology conferences and of special issues or sections of major sociological journals. Several sociology departments began degree programs or concentrations in public sociology, and a Google search of “public sociology” in November 2010 yielded 32,000 results. In the spirit of public sociology, the chapters that follow aim to show the relevance of sociological knowledge for social reform.

**KEY TAKEAWAYS**

- The debunking motif involves seeing beyond taken-for-granted assumptions of social reality.
- According to C. Wright Mills, the sociological imagination involves the ability to recognize that private troubles are rooted in public issues and structural problems.
- Early U.S. sociologists emphasized the use of sociological research to achieve social reform, and today’s public sociology reflects the historical roots of sociology in this regard.

**FOR YOUR REVIEW**

1. Select an example of a “private trouble” and explain how and why it may reflect a structural problem in society.
2. Do you think it is important to emphasize the potential use of sociological research to achieve social reform? Why or why not?
1.3 Theoretical Perspectives in Sociology

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<tr>
<th>LEARNING OBJECTIVES</th>
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<tr>
<td>1. Distinguish macro approaches in sociology from micro approaches.</td>
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<td>2. Summarize the most important beliefs and assumptions of functionalism and conflict theory.</td>
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<tr>
<td>3. Summarize the most important beliefs and assumptions of symbolic interactionism and exchange theory.</td>
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We have talked repeatedly about “a” sociological perspective, as if all sociologists share the same beliefs on how society works. This implication is misleading. Although all sociologists would probably accept the basic premise that social backgrounds affect people’s attitudes, behavior, and life chances, their views as sociologists differ in many other ways.

**Macro and Micro Approaches**

Although this may be overly simplistic, sociologists’ views basically fall into two camps: **macrosociology** and **microsociology**. Macrosociologists focus on the big picture, which usually means such things as social structure, social institutions, and social, political, and economic change. They look at the large-scale social forces that change the course of human society and the lives of individuals. Microsociologists, on the other hand, study social interaction. They look at how families, coworkers, and other small groups of people interact; why they interact the way they do; and how they interpret the meanings of their own interactions and of the social settings in which they find themselves. Often macro- and microsociologists look at the same phenomena but do so in different ways. Their views taken together offer a fuller understanding of the phenomena than either approach can offer alone.

The different but complementary nature of these two approaches can be seen in the case of armed robbery. Macrosociologists would discuss such things as why robbery rates are higher in poorer communities and whether these rates change with changes in the national economy. Microsociologists would instead focus on such things as why individual robbers decide to commit a robbery and how they select their targets.

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16. That part of sociology that deals with issues involving large-scale social change and social institutions.

17. That part of sociology that deals with social interaction in small settings.
Both types of approaches give us a valuable understanding of robbery, but together they offer an even richer understanding.

Within the broad macro camp, two perspectives dominate: functionalism and conflict theory. Within the micro camp, two other perspectives exist: symbolic interactionism and utilitarianism (also called rational choice theory or exchange theory) (Collins, 1994). We now turn to these four theoretical perspectives, which are summarized in Table 1.1 "Theory Snapshot".

<table>
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<tr>
<th>Theoretical perspective</th>
<th>Major assumptions</th>
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<tr>
<td>Functionalism</td>
<td>Social stability is necessary to have a strong society, and adequate socialization and social integration are necessary to achieve social stability. Society’s social institutions perform important functions to help ensure social stability. Slow social change is desirable, but rapid social change threatens social order. Functionalism is a macro theory.</td>
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<tr>
<td>Conflict theory</td>
<td>Society is characterized by pervasive inequality based on social class, gender, and other factors. Far-reaching social change is needed to reduce or eliminate social inequality and to create an egalitarian society. Conflict theory is a macro theory.</td>
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<tr>
<td>Symbolic interactionism</td>
<td>People construct their roles as they interact; they do not merely learn the roles that society has set out for them. As this interaction occurs, individuals negotiate their definitions of the situations in which they find themselves and socially construct the reality of these situations. In so doing, they rely heavily on symbols such as words and gestures to reach a shared understanding of their interaction. Symbolic interactionism is a micro theory.</td>
</tr>
<tr>
<td>Utilitarianism (rational choice theory or exchange theory)</td>
<td>People act to maximize their advantages in a given situation and to reduce their disadvantages. If they decide that benefits outweigh disadvantages, they will initiate the interaction or continue it if it is already under way. If they instead decide that disadvantages outweigh benefits, they will decline to begin interacting or stop the interaction if already begun. Social order is possible because people realize it will be in their best interests to cooperate and to make compromises when necessary. Utilitarianism is a micro theory.</td>
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Functionalism

Functionalism[^18], also known as the functionalist perspective, arose out of two great revolutions of the 18th and 19th centuries. The first was the French Revolution of 1789, whose intense violence and bloody terror shook Europe to its core. The aristocracy throughout Europe feared that revolution would spread to their own lands, and intellectuals feared that social order was crumbling.

The Industrial Revolution of the 19th century reinforced these concerns. Starting first in Europe and then in the United States, the Industrial Revolution led to many changes, including the rise and growth of cities as people left their farms to live near factories. As the cities grew, people lived in increasingly poor, crowded, and decrepit conditions. One result of these conditions was mass violence, as mobs of the poor roamed the streets of European and American cities. They attacked bystanders, destroyed property, and generally wreaked havoc. Here was additional evidence, if European intellectuals needed it, of the breakdown of social order.

In response, the intellectuals began to write that a strong society, as exemplified by strong social bonds and rules and effective socialization, was needed to prevent social order from disintegrating (Collins, 1994). Collins, R. (1994). *Four sociological traditions*. New York, NY: Oxford University Press. In this regard, their view was similar to that of the 20th-century novel *Lord of the Flies* by William Golding (1954), Golding, W. (1954). *Lord of the flies*. London, England: Coward-McCann. which many college students read in high school. Some British boys are stranded on an island after a plane crash. No longer supervised by adults and no longer in a society as they once knew it, they are not sure how to proceed and come up with new rules for their behavior. These rules prove ineffective, and the boys slowly become savages, as the book calls them, and commit murder. However bleak, Golding’s view echoes that of the conservative intellectuals writing in the aftermath of the French and Industrial Revolutions. Without a strong society and effective socialization, they warned, social order breaks down, and violence and other signs of social disorder result.

This general framework reached fruition in the writings of Émile Durkheim (1858–1917), a French scholar largely responsible for the sociological perspective as we now know it. Adopting the conservative intellectuals’ view of the need for a strong society, Durkheim felt that human beings have desires that result in chaos unless society limits them. He wrote, “To achieve any other result, the passions first must be limited....But since the individual has no way of limiting them, this must be done by some force exterior to him” (Durkheim, 1897/1952, p. 274). Durkheim, É. (1952). *Suicide*. New York, NY: Free Press. (Original work published 1897) This force, Durkheim continued, is the moral authority of society.

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[^18]: The view that social institutions are important for their contributions to social stability.
How does society limit individual aspirations? Durkheim emphasized two related social mechanisms: socialization and social integration. Socialization helps us learn society’s rules and the need to cooperate, as people end up generally agreeing on important norms and values, while social integration, or our ties to other people and to social institutions such as religion and the family, helps socialize us and integrate us into society and reinforce our respect for its rules. In general, Durkheim added, society comprises many types of social facts, or forces external to the individual, that affect and constrain individual attitudes and behavior. The result is that socialization and social integration help establish a strong set of social rules—or, as Durkheim called it, a strong **collective conscience**—that is needed for a stable society. By so doing, society “creates a kind of cocoon around the individual, making him or her less individualistic, more a member of the group” (Collins, 1994, p. 181). Collins, R. (1994). *Four sociological traditions*. New York, NY: Oxford University Press. Weak rules or social ties weaken this “moral cocoon” and lead to social disorder. In all of these respects, says Randall Collins (1994, p. 181), Collins, R. (1994). *Four sociological traditions*. New York, NY: Oxford University Press. Durkheim’s view represents the “core tradition” of sociology that lies at the heart of the sociological perspective.

Durkheim used suicide to illustrate how social disorder can result from a weakening of society’s moral cocoon. Focusing on group rates of suicide, he felt they could not be explained simply in terms of individual unhappiness and instead resulted from external forces. One such force is **anomie**, or normlessness, which results from situations, such as periods of rapid social change, when social norms are weak and unclear or social ties are weak. When anomie sets in, people become more unclear about how to deal with problems in their life. Their aspirations are no longer limited by society’s constraints and thus cannot be fulfilled. The frustration stemming from anomie leads some people to commit suicide (Durkheim, 1897/1952).Durkheim, É. (1952). *Suicide*. New York, NY: Free Press. (Original work published 1897)

To test his theory, Durkheim gathered suicide rate data and found that Protestants had higher suicide rates than Catholics. To explain this difference, he rejected the idea that Protestants were less happy than Catholics and instead hypothesized that Catholic doctrine provides many more rules for behavior and thinking than does Protestant doctrine. Protestants’ aspirations

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19. From Émile Durkheim, the combined norms of society.
20. Normlessness, a state in which social norms are unclear.

Émile Durkheim was a founder of sociology and largely responsible for the sociological perspective as we now know it.

were thus less constrained than Catholics’ desires. In times of trouble, Protestants also have fewer norms on which to rely for comfort and support than do Catholics. He also thought that Protestants’ ties to each other were weaker than those among Catholics, providing Protestants fewer social support networks to turn to when troubled. In addition, Protestant belief is ambivalent about suicide, while Catholic doctrine condemns it. All of these properties of religious group membership combine to produce higher suicide rates among Protestants than among Catholics.

Today’s functionalist perspective arises out of Durkheim’s work and that of other conservative intellectuals of the 19th century. It uses the human body as a model for understanding society. In the human body, our various organs and other body parts serve important functions for the ongoing health and stability of our body. Our eyes help us see, our ears help us hear, our heart circulates our blood, and so forth. Just as we can understand the body by describing and understanding the functions that its parts serve for its health and stability, so can we understand society by describing and understanding the functions that its “parts”—or, more accurately, its social institutions—serve for the ongoing health and stability of society. Thus functionalism emphasizes the importance of social institutions such as the family, religion, and education for producing a stable society. We look at these institutions in later chapters.

Similar to the view of the conservative intellectuals from which it grew, functionalism is skeptical of rapid social change and other major social upheaval. The analogy to the human body helps us understand this skepticism. In our bodies, any sudden, rapid change is a sign of danger to our health. If we break a bone in one of our legs, we have trouble walking; if we lose sight in both our eyes, we can no longer see. Slow changes, such as the growth of our hair and our nails, are fine and even normal, but sudden changes like those just described are obviously troublesome. By analogy, sudden and rapid changes in society and its social institutions are troublesome according to the functionalist perspective. If the human body evolved to its present form and functions because these made sense from an evolutionary perspective, so did society evolve to its present form and functions because these made sense. Any sudden change in society thus threatens its stability and future. By taking a skeptical approach to social change, functionalism supports the status quo and is thus often regarded as a conservative perspective.

Conflict Theory

In many ways, conflict theory\(^{21}\) is the opposite of functionalism but ironically also grew out of the Industrial Revolution, thanks largely to Karl Marx (1818–1883) and his collaborator, Friedrich Engels (1820–1895). Whereas conservative intellectuals feared the mass violence resulting from industrialization, Marx and Engels deplored
the conditions they felt were responsible for the mass violence and the capitalist society they felt was responsible for these conditions. Instead of fearing the breakdown of social order that mass violence represented, they felt that revolutionary violence was needed to eliminate capitalism and the poverty and misery they saw as its inevitable result (Marx, 1867/1906; Marx & Engels, 1848/1962). Marx, K. (1906). *Capital*. New York, NY: Random House. (Original work published 1867); Marx, K., & Engels, F. (1962). The communist manifesto. In *Marx and Engels: Selected works* (pp. 21–65). Moscow, Russia: Foreign Language Publishing House. (Original work published 1848)

According to Marx and Engels, every society is divided into two classes based on the ownership of the means of production (tools, factories, and the like). In a capitalist society, the bourgeoisie\(^{22}\), or ruling class, owns the means of production, while the proletariat\(^{23}\), or working class, does not own the means of production and instead is oppressed and exploited by the bourgeoisie. This difference creates an automatic conflict of interests between the two groups. Simply put, the bourgeoisie is interested in maintaining its position at the top of society, while the proletariat’s interest lies in rising up from the bottom and overthrowing the bourgeoisie to create an egalitarian society.

In a capitalist society, Marx and Engels wrote, revolution is inevitable because of structural contradictions arising from the very nature of capitalism. Because profit is the main goal of capitalism, the bourgeoisie’s interest lies in maximizing profit. To do so, capitalists try to keep wages as low as possible and to spend as little money as possible on working conditions. This central fact of capitalism, said Marx and Engels, eventually prompts the rise among workers of class consciousness\(^{24}\), or an awareness of the reasons for their oppression. Their class consciousness in turn leads them to revolt against the bourgeoisie to eliminate the oppression and exploitation they suffer.

Over the years, Marx and Engels’s views on the nature of capitalism and class relations have greatly influenced social, political, and economic theory and also inspired revolutionaries in nations around the world. However, history has not supported their prediction that capitalism will inevitably result in a revolution of the proletariat. For example, no such revolution has occurred in the United States, where workers never developed the degree of class consciousness envisioned by

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22. The ruling class.

23. The working class.

24. Awareness of one’s placement in the social structure and the interests arising from this placement.
Marx and Engels. Because the United States is thought to be a free society where everyone has the opportunity to succeed, even poor Americans feel that the system is basically just. Thus various aspects of American society and ideology have helped minimize the development of class consciousness and prevent the revolution that Marx and Engels foresaw.

Despite this shortcoming, their basic view of conflict arising from unequal positions held by members of society lies at the heart of today’s conflict theory. This theory emphasizes that different groups in society have different interests stemming from their different social positions. These different interests in turn lead to different views on important social issues. Some versions of the theory root conflict in divisions based on race and ethnicity, gender, and other such differences, while other versions follow Marx and Engels in seeing conflict arising out of different positions in the economic structure. In general, however, conflict theory emphasizes that the various parts of society contribute to ongoing inequality, whereas functionalist theory, as we have seen, stresses that they contribute to the ongoing stability of society. Thus, while functionalist theory emphasizes the benefits of the various parts of society for ongoing social stability, conflict theory favors social change to reduce inequality. In this regard, conflict theory may be considered a progressive perspective.

Feminist theory has developed in sociology and other disciplines since the 1970s and for our purposes will be considered a specific application of conflict theory. In this case, the conflict concerns gender inequality rather than the class inequality emphasized by Marx and Engels. Although many variations of feminist theory exist, they all emphasize that society is filled with gender inequality such that women are the subordinate sex in many dimensions of social, political, and economic life (Tong, 2009). Tong, R. (2009). Feminist thought: A more comprehensive introduction. Boulder, CO: Westview Press. Liberal feminists view gender inequality as arising out of gender differences in socialization, while Marxist feminists say that this inequality is a result of the rise of capitalism, which made women dependent on men for economic support. On the other hand, radical feminists view gender inequality as present in all societies, not just capitalist ones. Chapter 11 "Gender and Gender Inequality" examines some of the arguments of feminist theory at great length.

Symbolic Interactionism

Whereas the functionalist and conflict perspectives are macro approaches, symbolic interactionism is a micro approach that focuses on the interaction of individuals and on how they interpret their interaction. Its roots lie in the work in the early 1900s of American sociologists, social psychologists, and philosophers who were interested in human consciousness and action. Herbert Blumer (1969). Blumer,
H. (1969). *Symbolic interactionism: Perspective and method*. Englewood Cliffs, NJ: Prentice Hall. A sociologist at the University of Chicago, built on their writings to develop symbolic interactionism, a term he coined. This view remains popular today, in part because many sociologists object to what they perceive as the overly deterministic view of human thought and action and passive view of the individual inherent in the sociological perspective derived from Durkheim.

Drawing on Blumer’s work, symbolic interactionists feel that people do not merely learn the roles that society has set out for them; instead they construct these roles as they interact. As they interact, they “negotiate” their definitions of the situations in which they find themselves and socially construct the reality of these situations. In so doing, they rely heavily on symbols such as words and gestures to reach a shared understanding of their interaction.

An example is the familiar symbol of shaking hands. In the United States and many other societies, shaking hands is a symbol of greeting and friendship. This simple act indicates that you are a nice, polite person with whom someone should feel comfortable. To reinforce this symbol’s importance for understanding a bit of interaction, consider a situation where someone refuses to shake hands. This action is usually intended as a sign of dislike or as an insult, and the other person interprets it as such. Their understanding of the situation and subsequent interaction will be very different from those arising from the more typical shaking of hands.

Now let’s say that someone does not shake hands, but this time the reason is that the person’s right arm is broken. Because the other person realizes this, no snub or insult is inferred, and the two people can then proceed to have a comfortable encounter. Their definition of the situation depends not only on whether they shake hands but also, if they do not shake hands, on why they do not. As the term *symbolic interactionism* implies, their understanding of this encounter arises from what they do when they interact and their use and interpretation of the various symbols included in their interaction. According to symbolic interactionists, social order is possible because people learn what various symbols (such as shaking hands) mean and apply these meanings to different kinds of situations. If you visited a society where sticking your right hand out to greet someone was interpreted as a threatening gesture, you would quickly learn the value of common understandings of symbols.

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**Utilitarianism**

Utilitarianism is a general view of human behavior that says people act to maximize their pleasure and to reduce their pain. It originated in the work of such
18th-century thinkers as the Italian economist Cesare Beccaria (1738–1794) and the English philosopher Jeremy Bentham (1748–1832). Both men thought that people act rationally and decide before they act whether their behavior will cause them more pleasure or pain. Applying their views to crime, they felt the criminal justice system in Europe at the time was far harsher than it needed to be to deter criminal behavior. Another 18th-century utilitarian thinker was Adam Smith, whose book *The Wealth of Nations* (1776/1910) Smith, A. (1910). *The wealth of nations*. London, England: J. M. Dent & Sons; New York, NY: E. P. Dutton. (Original work published 1776) laid the foundation for modern economic thought. Indeed, at the heart of economics is the view that sellers and buyers of goods and services act rationally to reduce their costs and in this and other ways to maximize their profits.

In sociology, utilitarianism is commonly called exchange theory\(^{28}\) or rational choice theory\(^{29}\) (Coleman, 1990; Homans, 1961). Coleman, J. S. (1990). *Foundations of social theory*. Cambridge, MA: Harvard University Press; Homans, G. (1961). *Social behavior: Its elementary forms*. Orlando, FL: Harcourt Brace Jovanovich. No matter what name it goes under, this view emphasizes that when people interact, they seek to maximize the benefits they gain from the interaction and to reduce the disadvantages. If they decide that the interaction’s benefits outweigh its disadvantages, they will initiate the interaction or continue it if it is already under way. If they instead decide that the interaction’s disadvantages outweigh its benefits, they will decline to begin interacting or stop the interaction if already begun. Social order is possible because people realize it will be in their best interests to cooperate and to make compromises when necessary.

A familiar application of exchange theory would be a dating relationship. Each partner in a dating relationship gives up a bit of autonomy in return for love and other benefits of being close to someone. Yet every relationship has its good and bad moments, and both partners make frequent compromises to ensure the relationship will endure. As long as the couple feels the good moments outweigh the bad moments, the relationship will continue. But once one or both partners decide the reverse is true, the relationship will end.

### Comparing Macro and Micro Perspectives

This brief presentation of the four major theoretical perspectives in sociology is necessarily incomplete but should at least outline their basic points. Each perspective has its proponents, and each has its detractors. All four offer a lot of truth, and all four oversimplify and make other mistakes. We will return to them in many of the chapters ahead, but a brief critique is in order here.

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\(^{28}\) Utilitarianism.

\(^{29}\) Utilitarianism.
A major problem with functionalist theory is that it tends to support the status quo and thus seems to favor existing inequalities based on race, social class, and gender. By emphasizing the contributions of social institutions such as the family and education to social stability, functionalist theory minimizes the ways in which these institutions contribute to social inequality.

Conflict theory also has its problems. By emphasizing inequality and dissensus in society, conflict theory overlooks the large degree of consensus on many important issues. And by emphasizing the ways in which social institutions contribute to social inequality, conflict theory minimizes the ways in which these institutions are necessary for society’s stability.

Neither of these two macro perspectives has very much to say about social interaction, one of the most important building blocks of society. In this regard, the two micro perspectives, symbolic interactionism and utilitarianism, offer significant advantages over their macro cousins. Yet their very micro focus leads them to pay relatively little attention to the reasons for, and possible solutions to, such broad and fundamentally important issues as poverty, racism, sexism, and social change, which are all addressed by functionalism and conflict theory. In this regard, the two macro perspectives offer significant advantages over their micro cousins. In addition, one of the micro perspectives, rational choice theory, has also been criticized for ignoring the importance of emotions, altruism, and other values for guiding human interaction (Lowenstein, 1996).


These criticisms aside, all four perspectives taken together offer a more comprehensive understanding of social phenomena than any one perspective can offer alone. To illustrate this, let’s return to our armed robbery example. A functionalist approach might suggest that armed robbery and other crimes actually serve positive functions for society. As one function, fear of crime ironically strengthens social bonds by uniting the law-abiding public against the criminal elements in society. As a second function, armed robbery and other crimes create many jobs for police officers, judges, lawyers, prison guards, the construction companies that build prisons, and the various businesses that provide products the public buys to help protect against crime.
Conflict theory would take a very different but no less helpful approach to understanding armed robbery. It might note that most street criminals are poor and thus emphasize that armed robbery and other crimes are the result of the despair and frustration of living in poverty and facing a lack of jobs and other opportunities for economic and social success. The roots of street crime, from the perspective of conflict theory, thus lie in society at least as much as they lie in the individuals committing such crime.

In explaining armed robbery, symbolic interactionism would focus on how armed robbers make such decisions as when and where to rob someone and on how their interactions with other criminals reinforce their own criminal tendencies. Exchange or rational choice theory would emphasize that armed robbers and other criminals are rational actors who carefully plan their crimes and who would be deterred by a strong threat of swift and severe punishment.

Now that you have some understanding of the major theoretical perspectives in sociology, we will discuss in Chapter 2 "Eye on Society: Doing Sociological Research" how sociologists conduct their research.

**KEY TAKEAWAYS**

- Sociological theories may be broadly divided into macro approaches and micro approaches.
- Functionalism emphasizes the importance of social institutions for social stability and implies that far-reaching social change will be socially harmful.
- Conflict theory emphasizes social inequality and suggests that far-reaching social change is needed to achieve a just society.
- Symbolic interactionism emphasizes the social meanings and understandings that individuals derive from their social interaction.
- Utilitarianism emphasizes that people act in their self-interest by calculating whether potential behaviors will be more advantageous than disadvantageous.
## FOR YOUR REVIEW

1. In thinking about how you view society and individuals, do you consider yourself more of a macro thinker or a micro thinker?
2. At this point in your study of sociology, which one of the four sociological traditions sounds most appealing to you? Why?
1. Although Americans enjoy much freedom of thought and action, society constrains their views and behaviors.
2. The sociological perspective emphasizes that our social backgrounds influence our attitudes, behaviors, and life chances. The chances of committing even an individual act such as suicide depend to some degree on the group backgrounds from which we come.
3. Because sociology deals in generalizations and not laws, people don’t always behave and think in the patterns sociologists predict. For every sociological generalization, there are many exceptions.
4. Personal experience, common sense, and the media are all valuable sources of knowledge about various aspects of society, but they often present a limited or distorted view of these aspects.
5. A theme of sociology is the debunking motif. This means that sociological knowledge aims to look beyond on-the-surface understandings of social reality.
6. According to C. Wright Mills, the sociological imagination involves the ability to realize that personal troubles are rooted in problems in the larger social structure. The sociological imagination thus supports a blaming-the-system view over a blaming-the-victim view.
7. Theoretical perspectives in sociology generally divide into macro and micro views. Functionalism emphasizes the functions that social institutions serve to ensure the ongoing stability of society, while conflict theory focuses on the conflict among different racial, ethnic, social class, and other groups and emphasizes how social institutions help ensure inequality. Two micro perspectives, symbolic interactionism and utilitarianism, focus on interaction among individuals. Symbolic interactionism focuses on how individuals interpret the meanings of the situations in which they find themselves, while utilitarianism emphasizes that people are guided in their actions by a desire to maximize their benefits and to minimize their disadvantages.